



# **Collaboration across borders**

JISC Digital Content Conference:  
Urge and surge of international collaborations

**Saskia C.J. de Vries**  
Amsterdam University Press

# Open Access Monograph Publishing in the Humanities and Social Sciences

- Why Open *Monographs* make sense (plus examples)
- Open Access Publishing in European Networks:
  - OAPEN's approach
- Open Access Publication Model:
  - Calculating the costs of the Open Access edition
- Principles of the funding of Open Access publishing
- Collaboration across borders

# Why Open Monographs make sense

- Worldwide audience, increasing visibility & impact (Authors)
- Full text search & unrestricted access (Researchers)
- Increasing ROI in research (Funding agencies)
- Answering user needs (Libraries)
- Effective knowledge dissemination (Publishers)

# Open Monographs: examples



# OAPEN

## Open Access Publishing in European Networks

- Developing an OA publication model for peer reviewed academic books in Humanities and Social Sciences
- Creating a large, freely available collection of current books in European languages in various fields of HSS
- Duration: 30 months
- Start: September 1, 2008
- Consortium: 6 European University Presses and 2 Universities
- Coordinator: Amsterdam University Press, the Netherlands
- Aimed at Academic publishers in Humanities and Social Sciences
- Building a network around OA publishing for stakeholders within the academic community

*Actions undertaken under the eContentplus Programme to make digital content in Europe more accessible, usable and exploitable*

# the network: the publishers

- Perdue University Press, Atlantis Press, Igitur, Editions de l'Universite de Bruxelles, Verlag der Osterreichischen Akademie der Wissenschaften, Polimetrica, Ledizioni/Ledipublishing, Open Humanities Press, Academia Press, Univpub/Oslo Academic Press, Academia Verlag, Brill, IOS Press, KITLV Press, Open Book Publishers, Oxford University Press, Aksant, Acco Publishers, Pikkering & Chatto Publishers ---
- Pending: Aarhus University Press, Publican-Ediciones de la Universidad de Cantrabria, Athabasca University Press, Institute of Contemporary European Studies

# the network: the stakeholders

IMISCOE - International Migration Integration Social Cohesion

Institute of Economic Analysis & Prospective Studies at Al Akhawayn university

Université Libre de Bruxelles

National Documentation Centre (EKT), National Hellenic Research Foundation  
(NHRF)

Association of American University Presses - AAUP

Arbeitsgemeinschaft der Universitätsverlage

Universitat de Valencia

Scholarly Publishing and Academic Resources Coalition - SPARC Europe

Scholarly Publishing and Academic Resources Coalition

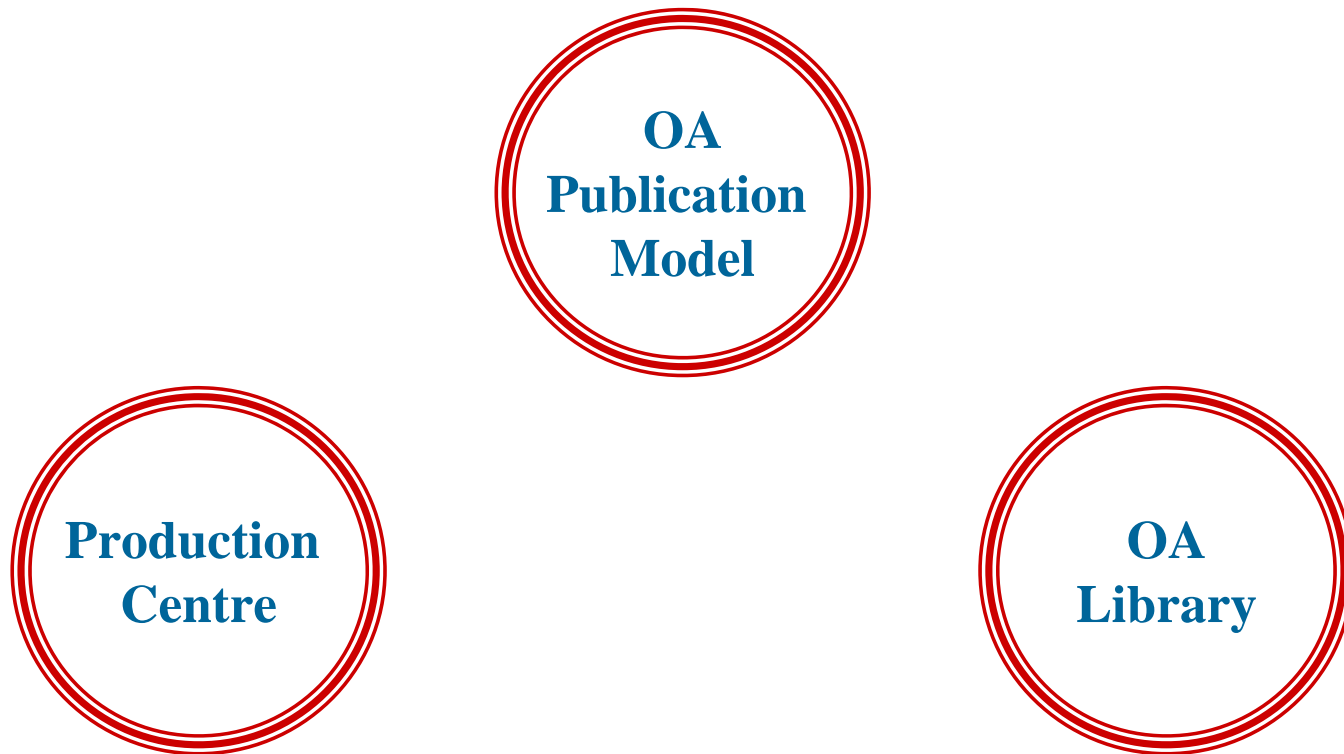
JISC Collections

Forlaeggerforeningen - Danish Publishers Association

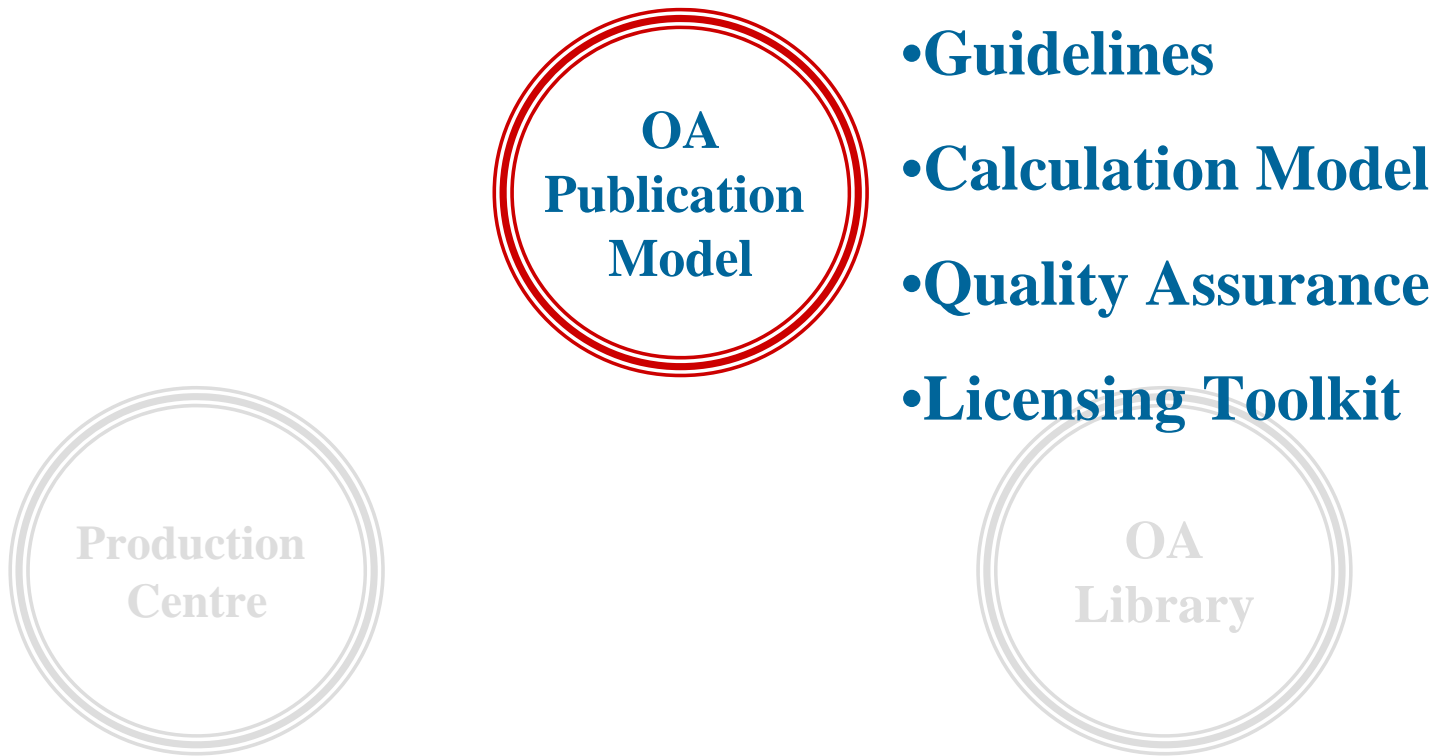
# OAPEN's approach

- Hybrid model: Online *and* Print
  - Basic online edition is free (OA edition)
  - Other editions (print or PoD, e-reader) are sold
- Funders of research pay for publication of OA edition
- No copyright transfer
- Long term availability Online
  - Based on repository infrastructure

# OAPEN: main results



# OAPEN: OA model

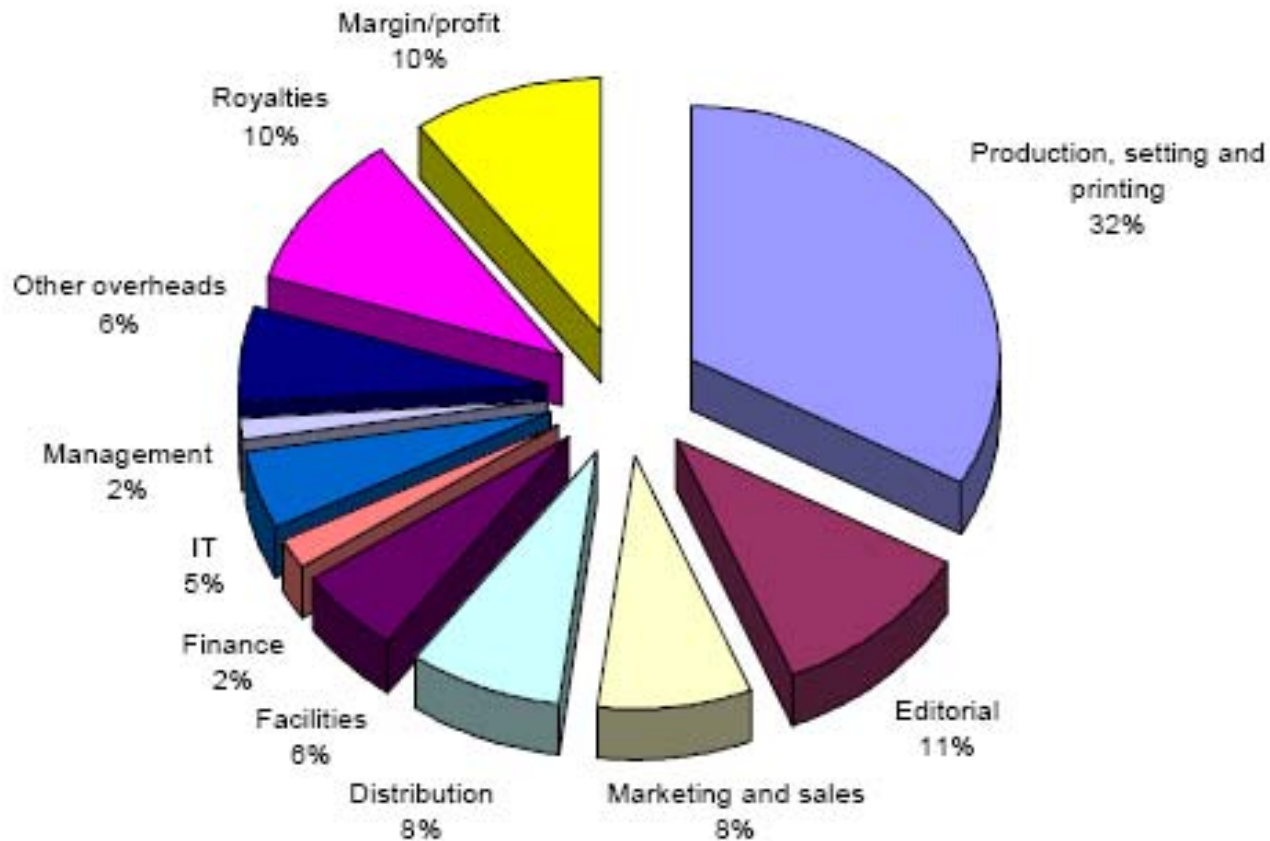


# OA funding model

- OA publication funded by research funders:
  - Costs: organising peer review, editing, formatting, e-platform costs, marketing, overhead publisher
- Sold editions (print, PoD, e-book) funded by publisher
  - Costs: printing costs, design, marketing, distribution, royalties, overhead publisher
- Optional: revenues of sold editions to be shared with research funders, % of net

# Costs of academic books in % of net turnover

From Dutch SURF report 'Costs and benefits of alternative publishing models'



# Calculating the Open Access edition

## OA edition:

- Organising peer review
- Editing
- Formatting
- E-platform costs
- Basic Marketing
- Overhead

## Printed (PoD) edition:

- Design
- Printing (uploading)
- Distribution
- Marketing & sales
- Royalties
- Overhead

# Funding OA Books: principles

Research and dissemination of results belong together:

- Research funding should include dissemination costs

Funders' OA policies should be extended to include OA publishing (green *and* gold):

- Budgets for OA publishing should be open to articles *and* books

Publishers should develop OA Publishing as a service to the Scholarly community

# Publishing OA Books: collaboration

## Publishers:

- Organise peer-review
- Offer services for OA publication based on calculation of costs
- Publish and sell other editions
- Share revenues on sales with funders?

## Funders:

Set criteria for OA publications:

- Quality standards
- Reasonable publication fee, or
- Model for calculating publication fee

Provide publication funds

# Now: discussion with OAPEN Scientific Board

Sijbolt J. Noorda (chair) **Dutch Association of Research Universities (VSNU),  
European University Association (EUA), The Netherlands**

Phillippe Aigrain **Sopinspace, Society for Public Information Spaces, France**

Prof. Dr. Jean-Claude Guédon **Université de Montréal, Canada**

Sigi Jöttkandt **Open Humanities Press**

Sarah Porter **JISC Innovation, London, UK**

Prof. Dr. Gerhard Lauer **Georg-August-Universität Göttingen, Germany**

Prof. Dr. Ingvild Gilhus **University of Bergen, Norway**

Charles Henry **Council on Library and Information Resources (CLIR),  
Washington, USAMich**

Michael Holdsworth **Book Industry Communication (BIC), Cambridge, UK**

Prof. Dr. Anthony F.J. van Raan **Centre for Science and Technology Studies  
(CWTS) at Leiden University, The Netherlands**

Prof. Dr. Mette Thunø **University of Copenhagen, Denmark**

Prof. Dr. Erik Sandewall **Linköping University, Sweden**

Prof. Dr. Andrea Zorzi **Università degli Studi di Firenze, Italy**

# And: discussion with OAPEN External Stakeholder Group

Kurt De Belder (chair) - **Leiden University Library**

David Prosser -**Sparc Europe**

Prof.dr. Wiljan van den Akker -**University of Utrecht**

Prof.dr. Robbert Dijkgraaf - **Royal Netherlands Academy of Arts & Sciences**

Prof.dr. Theo Mulder - **Royal Netherlands Academy of Arts & Sciences**

François Gèze - **SHS of French Publishers' Union (SNE)**

Grete M. Kladakis - **Danish Agency for Science, Technology and Innovation**

Lars Bjørnshauge **Lund University Library**

Martin Richardson **Oxford University Press**

dr. Nina Kancewicz-Hoffman **European Science Foundation**

Prof.dr. Milena Žic Fuchs - **Standing Committee for the Humanities, European Science Foundation**

Jean-Michel Roddaz - **Humanities & Social Sciences Department, Agence Nationale de la Recherche**

dr. Johannes Fournier - **Deutsche Forschungsgemeinschaft DFG**

dr. Roberto Delle Donne - **Open Access Working Group of CRUI (Conferenza dei Rettori delle Università Italiane)**

Prof.dr. Shearer West - **Arts & Humanities Research Council, UK**

Michael Jon Jensen - **Office of Communications of the National Academies and National Academies Press**

Suzanne Kemperman - **OCLC NetLibrary**

dr. Renée van Kessel - **Division for the Social Sciences, The Netherlands Organisation for Scientific Research**

Anne Sandfær - **Steering Committee Knowledge Exchange (GB,D,NL,DK) / Senior Advisor, DEFF & Knowledge Exchange Office, Danish Agency for Libraries and Media**

# Funding OA Publications

- The need for an OA funding mechanism is being recognised (*for OA Journals*)
- March 2009 report from Research Information Network / UK Universities:  
*‘Paying for Open Access Publication Charges’*  
Guidelines for Higher Education and Research Institutions, Publishers and Authors

# Some Key Recommendations

- **Higher Education Institutes** should:  
Establish dedicated budgets to which researchers can apply for funds to meet the costs of publication fees
- **Funders** should:  
Clarify how they will provide support for researchers in meeting their open access policies in general, and the payment of publication fees in particular

# Collaboration across borders

To develop a new Open Access model for academic books, it is necessary for all stakeholders to collaborate:

- Publishers
- Libraries
- Funding Agencies like ESF, NWO, DFG etc
- Higher Education Institutes
- Academies of Arts and Sciences
- Academics - Authors

**Thank you!**

**Saskia C.J. de Vries**

**Amsterdam University Press**

[www.aup.nl](http://www.aup.nl)

[www.oopen.org](http://www.oopen.org)

s.c.j.devries@aup.nl